TTB Report of Wine Premise Operations

November 10, 2020

Form 5120.17

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Topics of discussion

- Basic points to consider for this form
- Filing frequency
- Parts of the form and their purpose
- Things to remember and common mistakes
- Where to get help when you need it
- Questions



Basics of the form

- 1. The form contains data to help TTB track the amount of wine produced, packaged and removed, as well as what went into that wine and operations that increased or decreased volume. It also contains additional data for other agencies such as USDA.
- 2. In most sections, the top of the section will be amount on hand plus any amounts added during the period.
- In most sections, the bottom of the section will be amount on hand end of period plus amounts withdrawn/lost, etc.
- 4. In most sections, your top total and bottom total must match.

Filing frequency

- Annual for most small wineries bulk + bottled gallons don't exceed 20k gallons any month and less than \$1000 in annual excise taxes
- ➤ Quarterly bulk + bottled gallons don't exceed 60k gallons any month and less than \$50000 in annual excise taxes
- ➤ Monthly (requires bi-monthly excise taxes)
- ➤ What if I've been filing too frequently?
- ➤ What if I haven't been filing often enough?



Parts of the form

Part I: Summary of wines in bond Section A – Bulk Wines

			ART I - SUMMARY OF W	•	IS)		
ITEM		NOT OVER 16 PERCENT (a)	OVER 16 TO 21 PERCENT (Inclusive) (b)		ARTIFICIALLY CARBONATED WINE (d)	BONATED SPARKLING WINE	
SECTION A - BULK WINES							
ON HAND BEGINNING OF PERIOD		1					
2. PRODUCED BY FERMENTATION ²						BF BP	
3. PRODUCED BY SWEETENING							
 PRODUCED BY ADDITION OF WINE SE 	PIRITS						
5. PRODUCED BY BLENDING							
PRODUCED BY AMELIORATION							
7. RECEIVED IN BOND							
3. BOTTLED WINE DUMPED TO BULK							
INVENTORY GAINS							
10.	_						
11.	-						
12.	TOTAL	0.00	0.00	0.00	0.00	0.00	0.0
13. BOTTLED ^{3/}						BF BP	
14. REMOVED TAXPAID						DF	
15. TRANSFERS IN BOND							
16. REMOVED FOR DISTILLING MATERIAL							
17. REMOVED TO VINEGAR PLANT							
8. USED FOR SWEETENING							
19. USED FOR ADDITION OF WINE SPIRIT	S						
0. USED FOR BLENDING ⁵ /							
21. USED FOR AMELIORATION							
22. USED FOR EFFERVESCENT WINE							
3. USED FOR TESTING							
24.	•						
	-						
25.	-						
26.							
26.	<u> </u>						
26.							
16. 17. 18. 19. LOSSES (OTHER THAN INVENTORY)	•						
6. 7. 8. 9. LOSSES (OTHER THAN INVENTORY)	•						
25. 26. 27. 28. 29. LOSSES (OTHER THAN INVENTORY) 30. INVENTORY LOSSES 31. ON HAND END OF PERIOD	•						

Part I: Summary of wines in bond

Section B – Bottles Wines

					,	
SECTION B - BOTTLED WINES	0.00					
 ON HAND BEGINNING OF PERIOD 	0.00					
 BOTTLED^{3/} 					BF	
					BP	
3. RECEIVED IN BOND						
4. TAXPAID WINE RETURNED TO BOND						
5.						
6.						
			1000	12/22		
7. TOTAL	0.00	0.00	0.00	0.00	0.00	0.00
REMOVED TAXPAID						
9. TRANSFERRED IN BOND						
10. DUMPED TO BULK						
11. USED FOR TASTING						
12. REMOVED FOR EXPORT						
13. REMOVED FOR FAMILY USE						
14. USED FOR TESTING						
15.						
16.						
17.						
18. BREAKAGE						
19. INVENTORY SHORTAGE4/						
20. ON HAND END OF PERIOD						
24 70741		1000000				
21. TOTAL	0.00	0.00	0.00	0.00	0.00	0.00

Part III: Summary of distilled spirits

DART III	SHMMARY	OF DISTILLED	SDIRITS	(Proof Gallons)

	WINE SPIRITS						DISTILLATES CONTAINING	
ITEM		FOR ADDITIO	ON TO WINE		FOR PREPARATION OF DOSAGES OR	ALDEHYDES®		USE IN NON BEVERAGE WINES
	GRAPE				ESSENCES			
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
ON HAND BEGINNING OF PERIOD								
2. RECEIVED					0.00			
3. INVENTORY GAIN								
4. TOTAL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
5. USED								
6. TRANS. TO COL. (e)								
7.								
8. LOSSES								
9. ON HAND END OF PERIOD								
10. TOTAL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Part IV: Summary of Materials Received and Used

PART IV - SUMMARY OF MATERIALS RECEIVED AND USED

			GRAPE M	ATERIAL		KINDS OF MATERIALS OTHER THAN GRAPE ⁶⁷ SU			SUG	JĢAR	
						(F	Pounds or Gallon	s)	557		
	ITEM			CONCENTRATE (Gallons) (d)	(e)	(f)	(g)	DRY (Pounds) (h)	LIQUID (Gallons) (i)		
_	ON HAND BEGINNING OF BERIOD	(4)	(10)	(0)	(0)	, ,	1.7	(3)			
_	ON HAND BEGINNING OF PERIOD										
2.	RECEIVED										
3.	JUICE OR CONCENTRATE PRODUCED										
4.	TOTAL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
5.	USED IN WINE PRODUCTION										
6.	USED IN JUICE OR CONCENTRATE PRODUCTION										
7.	USED IN ALLIED PRODUCTS										
8.	REMOVED										
9.	ON HAND END OF PERIOD										
10	. TOTAL	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	

Part VI: Summary of Distilling Material and Vinegar Stock

PART VI - SUMMARY OF DISTILLING MATERIAL AND VINEGAR STOCK (Gallons) ^ω						
	DISTILLING	MATERIAL ^{7/}	VINEGAR	STOCK		
ITEM						
	(a)	(b)	(c)	(d)		
ON HAND BEGINNING OF PERIOD (Storage Tanks)						
2. PRODUCED						
3. RECEIVED FROM OTHER BONDED WINE PREMISES						
4.						
5. TOTAL	0.00	0.00	0.00	0.00		
6. REMOVED TO DISTILLED SPIRITS PLANTS						
7. REMOVED TO OTHER BONDED WINE PREMISES						
8. REMOVED TO VINEGAR PLANTS						
9.						
10. ON HAND END OF PERIOD (Storage Tanks)						
11. TOTAL	0.00	0.00	0.00	0.00		



Part VII: In Fermenters End of Period

PART VII - IN FERMENTERS END OF PERIOD (Gallons) ⁶						
ITEM						
	(a)	(b)	(c)	(d)	(e)	TOTAL
1. IN FERMENTERS (ESTIMATED QUANTITY OF LIQUID)						0.00



Part VIII: Summary of NonBeverage Wines

PART	TVIII - SUMMARY OF NONBEVERAGE WII	NES (Gallons)	
ITEM	NOT OVER 16 PERCENT ALCOHOL	OVER 16 TO 21 PERCENT ALCOHOL (Inclusive) (b)	TOTAL (c)
1. PRODUCED			0.00
2. WITHDRAWN			0.00



Part IX: Special Natural Wines and 27 CFR 24.218 Wines

PART IX - SPECIAL NATURAL WINES AND 27 CFR 24.218 WINES (Gallons)							
			OTHER SPECIAL	NATURAL WINES		1000000	
ITEMS	VERMOUTH (a)	NOT OVER 16 PERCENT ALCOHOL (b)	OVER 16 TO 21 PERCENT ALCOHOL (c)	ARTIFICIALLY CARBONATED (d)	SPARKLING (e)	TOTAL (cols. a, b, c, d and e) (f)	27 CFR 24.218 WINES (g)
1. PRODUCED						0.00	
2. TAXABLE REMOVALS						0.00	
ON HAND END OF PERIOD						0.00	



Part X: Remarks

This is where you explain any entries that need additional information or explanation

PART X - REMARKS





- For inventory numbers, report wine that is in-bond
 - Don't include wine that has already been moved to tasting room
 - Make sure significant losses are reported to TTB on letterhead prior to submission of your report showing the loss/destruction
- ➤ Keep documentation to back up all figures you use in the report for at least three years
- Make sure someone with TTB Signing Authority or Power of Attorney signs your report
- Filing late is better than filing never
- If you haven't kept the data you need to file the report correctly for this year, make sure you list correct end of period inventories so that at least reports moving forward can be correct.

Where to get help

➤ TTB Website

There is a great resource on this topic located at:

https://www.ttb.gov/images/pdfs/ttb-p-512017.pdf

There are other resources online on this topic as well at ttb.gov

➤ TTB Help Line — 877-882-3277

Please don't be afraid to reach out to TTB

(best time to reach them without a wait is between 8:15 and 8:45 am)

Email me (contact information on last slide)



Questions?



Williams Compliance & Consulting

Contact Information

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Tiffany Aldridge

